



# Membership Application Form

Australian Residents Only

**IIA-Australia Membership will support internal auditors to be the best they can be and will help advance the status of our important profession.**

## MEMBERSHIP OPTIONS

### PROFESSIONAL MEMBER

Professional members will be identified by the unique post-nominal of PMIIA<sup>®</sup>. It will signal to employers, peers and the broader community that they have met a prescribed set of competencies. To qualify as a 'Professional Member', you will need to demonstrate a minimum of 24 months work experience in an internal auditing role (or other professional experience) within the last 5 years AND satisfactory completion of **either**:

- Graduate Certificate in Internal Auditing (GradCertIA) (Additional fees may apply) **OR**
- CIA<sup>®</sup> (Additional fee may apply and evidence required\*) **OR**
- IIA-Australia's 'Assessment of Current Competence Program' (Additional fee applies and evidence required\*)

*\*Please note that IIA-Australia will contact you with regards to the evidence required.*

### ASSOCIATE MEMBER

The Associate Member designation identifies members by the post nominal of AMIIA<sup>®</sup>. To be eligible for Associate Membership you will be working in internal audit however, you have not yet applied or met the requirements for professional membership; or you are interested or involved in internal auditing but not necessarily working as an Internal Auditor.

## PERSONAL/BUSINESS INFORMATION

Mr  Mrs  Ms  Miss  Other: \_\_\_\_\_ First name/s: \_\_\_\_\_ Family name: \_\_\_\_\_

Preferred first name: \_\_\_\_\_ Preferred name for certificate: \_\_\_\_\_

Residential address or PO Box number: \_\_\_\_\_

Suburb: \_\_\_\_\_ State: \_\_\_\_\_ Postcode: \_\_\_\_\_ Date of birth (DD/MM/YYYY): \_\_\_\_\_

Home telephone: \_\_\_\_\_ Mobile: \_\_\_\_\_ Number of years in internal audit: \_\_\_\_\_

Employer: \_\_\_\_\_ Position/title: \_\_\_\_\_

Business address: \_\_\_\_\_

Suburb: \_\_\_\_\_ State: \_\_\_\_\_ Postcode: \_\_\_\_\_

Business mailing address (if different from above): \_\_\_\_\_

Suburb: \_\_\_\_\_ State: \_\_\_\_\_ Postcode: \_\_\_\_\_

Business Telephone: (     ) \_\_\_\_\_ Facsimile: (     ) \_\_\_\_\_

Primary Email: \_\_\_\_\_ Secondary Email: \_\_\_\_\_

I am currently working for a (please tick appropriate box): \_\_\_\_\_

Listed Company  Private Company  Not-For-Profit  Consulting Firm

Federal Government  State Government  Local Government  Sole Practitioner

Market Capitalisation (\$m): \_\_\_\_\_ Gross Revenue (\$m): \_\_\_\_\_

Please send IIA-Australia correspondence to my (please tick appropriate box): Residential or PO Box  Business mailing address

**How did you hear about IIA-Australia?** \_\_\_\_\_

## INDUSTRY CODE

Please tick appropriate box

### Agriculture, Forestry, Fisheries

- 0100 Agricultural, forestry, fisheries, production/services

### Mining

- 1000 Mining  
 1300 Oil and Gas extraction

Contract Construction

- 1500 Construction

### Manufacturing

- 2000 Food/kindred products  
 2100 Tobacco manufacturers  
 2200 Textile mill products/apparel  
 2400 Lumber/wood products (incl. furniture/fixtures)  
 2600 Paper and allied products (incl. printing/publishing)  
 2800 Chemicals  
 2830 Drugs and research  
 2840 Petroleum refining and related industries  
 3010 Rubber and plastic products  
 3100 Leather, stone and glass products  
 3300 Primary metal industries  
 3400 Fabricated metal products (including non-electric machinery)  
 3500 Industrial and commercial machinery  
 3510 Aerospace  
 3520 Computers and related devices/equipment  
 3600 Electrical machinery, electronic equipment and supplies  
 3700 Transportation equipment  
 3800 Scientific, photographic, medical goods  
 3900 Miscellaneous manufacturing industries

### Transportation, Communications and Utility Services

- 4000 Land transportation  
 4400 Water transportation  
 4500 Air transportation  
 4700 Other transportation services  
 4800 Communication services  
 4810 Telecommunications  
 4900 Electric/gas  
 4910 Gas services  
 4920 Gas and electric services  
 4930 Sanitary services

### Wholesale and Retail Trade

- 5000 Wholesale trade  
 5300 Retail trade  
 5800 Eating and drinking places

### Financial, Insurance and Real Estate

- 6000 Banking and financial institutions  
 6030 Non banking bank services (eg. leasing)

- 6040 Thrift and savings and loan organisation  
 6100 Credit unions  
 6130 Other credit agencies  
 6200 Security and commodity services  
 6300 Insurance carriers, agents, services  
 6500 Real estate services  
 6700 Holding/investment companies

### Services

- 7000 Hotels/lodging services  
 7200 Personal/social services  
 7300 Contracted audit services  
 7310 Management consultants  
 7320 Information technology services  
 7330 Executive placement services  
 7500 Repair services  
 7600 Gaming/lottery  
 7800 Motion pictures/amusement and recreational services  
 8000 Health services  
 8100 Legal services  
 8200 Educational services  
 8600 Membership organisations  
 8900 Public accounting/accounting/bookkeeping services  
 8910 Miscellaneous services

### Government

- 9100 Federal Government  
 9200 State Government  
 9300 Local Government  
 9400 International government

Non classifiable

- 9900 Non classifiable establishments  
 9999 Not-For-Profit

## JOB CODE

Please select the position that best describes your role and function in your organisation.

- 200 Chief Auditing Executive/ Head of Internal Audit  
I am the most senior auditing officer for the organisation with ultimate responsibility for the entire internal auditing function.
- 210 Director of Auditing  
I am the chief auditor authorised to direct a broad, comprehensive program of internal auditing within my company.
- 220 Auditing Manager  
I administer the internal auditing activity of an assigned location within the general guidelines provided by the director of auditing.
- 230 Audit Staff  
I conduct, or assist in conducting, reviews of assigned organisational and functional activities.
- 245 IT Auditing Director  
I am head of the IT auditing activity within my organisation.

- 250 IT Auditing Manager  
I administer the EDP audit activity of an assigned location within the general guidelines provided by the director of auditing.
- 260 IT Auditing Staff  
I conduct, or assist in conducting, reviews of assigned organisational and functional activities related to IT auditing.
- 275 Audit Services Contractor  
I offer internal audit services on a contracted basis.
- 280 External Public Accountant  
I am a practicing public accountant, chartered accountant, etc.
- 300 Corporate Management  
I am a corporate officer with direct oversight responsibility for internal auditing (and do not qualify under another job code above).
- 310 Educator  
I am principally employed as an educator at a college or university (PhD, BA, BSc, DipEd etc.)
- 320 Student  
I am pursuing a degree program at a college or university (including doctorate candidates) on a full-time basis.
- 330 Retired  
I am retired from active employment.
- 340 Audit Committee Member  
I am an audit committee member of a corporate board of directors (and do not qualify under another job code above).
- 350 Management Consultant  
I am primarily an independent consultant with an interest in internal auditing (otherwise refer to another job code).
- 360 Other  
Specify title or job description.

## OUTSOURCING

Please indicate below which areas are outsourced

- All  
 Part or  
 None of your auditing

## ACADEMIC QUALIFICATIONS

Please tick as many boxes as appropriate

- BA                       M Sc  
 B Bus                       Other  
 B Com                      (Specify): \_\_\_\_\_  
 B Ec                       Non-University Certificate  
 B Eng                      (Specify): \_\_\_\_\_  
 B Sc                       University Cert/Diploma  
 LLB                      (Specify): \_\_\_\_\_  
 LLM  
 MBA  
 M Com  
 M Ec

## IIA-AUSTRALIA MEMBER PERSPECTIVE CLASSIFICATION

We are exploring what is important to you as an internal auditor in general and from the Institute of Internal Auditors - Australia. Answering the following 10 questions should only take a few moments to complete. Completing these questions will help to shape our interactions with you.

To what extent do you agree or disagree with the following statements?

Enter the number in each box that represents your level of agreement

Strongly disagree	Neither agree nor disagree						Strongly agree			
0	1	2	3	4	5	6	7	8	9	10
Q1. Being a member of the IIA-Australia would give me a strong sense of career stability										<input type="checkbox"/>
Q2. Being a member of the IIA-Australia would give me the confidence to be the best I can be in my role										<input type="checkbox"/>
Q3. I am concerned about whether I will be able to manage my career successfully										<input type="checkbox"/>
Q4. I am extremely ambitious and really want to progress in my career										<input type="checkbox"/>
Q5. I only feel successful in life if I am successful at work										<input type="checkbox"/>
Q6. It is extremely important to me that I only consider the least expensive tools and resources to help me as an internal auditor because I need to be careful with my finances										<input type="checkbox"/>
Q7. It is important to me personally to give back to the community and the profession										<input type="checkbox"/>
Q8. It's extremely important to me to have an orderly process in all my work										<input type="checkbox"/>
Q9. My manager or other more senior people should be responsible for driving my professional development										<input type="checkbox"/>
Q10. Technical skills are the most important skills for me to be successful in my role/career										<input type="checkbox"/>

## OTHER MEMBERSHIPS

Please tick as many boxes as appropriate

- ACCA
- AICD
- AIM
- CPA Australia
- CAANZ
- ISACA
- FINSIA
- RMIA
- Law Society/Institute
- Institute of Public Accountants
- Other (specify): \_\_\_\_\_

Please indicate your Internal Audit responsibilities

- Are you the Head of Internal Audit? Yes  No
- If no, do you report to the Head of Internal Audit? Yes  No
- Does the Head of Internal Audit report to you? Yes  No
- Are you new to Internal Auditing? Yes  No

## APPLICATION INFORMATION

Two referees are required, ideally one of those should be a member of IIA-Australia and the other a business associate. If you do not know a member of the IIA-Australia, please provide two business referees.

### Referee 1

Name: \_\_\_\_\_ Position: \_\_\_\_\_  
Company: \_\_\_\_\_  
Address: \_\_\_\_\_  
Telephone: (     ) \_\_\_\_\_ IIA-Australia Member Yes  No

### Referee 2

Name: \_\_\_\_\_ Position: \_\_\_\_\_  
Company: \_\_\_\_\_  
Address: \_\_\_\_\_  
Telephone: (     ) \_\_\_\_\_ IIA-Australia Member Yes  No

## DECLARATION

If you answer YES to any of the questions below, please attach details.

- A. Have you ever been convicted of a criminal offence or is there a charge pending? Yes  No
- B. Have you ever been refused membership, or had membership forfeited, of a statutory, professional or other body? Yes  No
- C. Have you ever been subject to disciplinary proceedings by a statutory, professional or academic institution or other body? Yes  No
- D. Are you presently under any order of the court, are you a bankrupt, have you made any assignment for the benefit of your creditors or have you executed an authority under Part X of the *Bankruptcy Act 1966* within the last three (3) years? Yes  No
- E. Are you subject to a notice not to manage a corporation as provided in section 206, Part 2D.6 or the *Corporations Act 2001*? Yes  No
- F. GradCertIA only: Have you completed 24 months relevant experience? Yes  No

*Please indicate your acceptance of these terms by crossing the box beside each statement.*

I have read and agree to be bound by the provisions of IIA-Australia's Constitution and By-laws prescribing ruling on the standards of practice and professional conduct, including the International Professional Practices Framework, as required by the IIA-Australia to be observed I accept

I have read and will abide by the Code of Ethics adopted by the IIA-Australia to govern its members I accept

I agree to produce such further evidence and information in relation to this application as may be required by the IIA-Australia to attest that the information is true and correct I accept

I have read the Privacy Statement and I consent to my personal information being collected, used and disclosed for the purposes stated I accept

I will undertake, and keep a record of my Continuing Professional Education obligations (currently 80 hours over two years for Professional Member) and (60 hours over two years for Associate Member) I accept

The information provided in this application (including any attachments) is true and correct and I make it in the knowledge that a person making a false declaration is liable to the penalties of perjury I accept

In consideration of the Institute of Internal Auditors-Australia's evaluation of my suitability for professional or associate membership, I understand and agree that confirmation of my responses will be sought. These checks may include, but are not limited to, criminal history and verification of my qualifications and professional membership(s). I hereby authorise the IIA-Australia and its authorised nominees to collect, use, disclose and store personal information about me from third parties, for the purpose of verifying my experience and qualifications.

Additionally, I allow third parties holding personal information about me to release such information to the IIA-Australia and its authorised nominees.

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Information on the above terms can be found at [www.iaa.org.au](http://www.iaa.org.au)

**ASSOCIATE MEMBER (AMIIA®)  
2018 MEMBERSHIP FEE SCHEDULE**

The membership year runs from 1 July 2018 to 30 June 2019. Fees are pro-rated.

**TAX INVOICE**

This document will be a tax invoice/receipt for GST when you make payment. ABN 80 001 797 557

Annual membership fee (inc. GST)	\$560*
Joining Fee** (inc. GST)	\$110
<i>Internal Auditor</i> magazine bi-monthly copy by post (will only be delivered within Australia)	<input type="checkbox"/> \$50
<i>Internal Auditor</i> magazine online subscription	<input type="checkbox"/> \$0
<b>TOTAL COST</b>	<b>\$</b>

\* Please contact Membership for the exact fee payable  
\*\* IIA-Australia membership fees are non-refundable.

**PROFESSIONAL MEMBER (PMIIA®)  
2018 MEMBERSHIP FEE SCHEDULE**

The membership year runs from 1 July 2018 to 30 June 2019. Fees are pro-rated.

**TAX INVOICE**

This document will be a tax invoice/receipt for GST when you make payment. ABN 80 001 797 557

Annual membership fee (inc. GST)	\$560*
Joining Fee** (inc. GST)	\$110
<b>Please select ONE option only:</b>	
Please note that these fees are one-off	
CIA®/ GradCertIA Assessment Fee (inc. GST)	<input type="checkbox"/> \$150 (Fee Waived)
<b>OR</b>	
IIA-Australia's Assessment of Current Competence Program' (inc. GST)	<input type="checkbox"/> \$250
<i>Internal Auditor</i> magazine bi-monthly hard copy	<input type="checkbox"/> \$50
<i>Internal Auditor</i> magazine online subscription	<input type="checkbox"/> \$0
<b>TOTAL COST</b>	<b>\$</b>

Stay connected. Follow our social networks.    

**HOW TO PAY**

 **Cheque**

Mail this form with your cheque payable to the Institute of Internal Auditors – Australia, PO Box A2311, SYDNEY SOUTH NSW 1235 AUSTRALIA

 **Online Transfer**

**Bank:** Westpac Banking Corporation  
**Address:** 84 King Street, Sydney NSW 2000  
**Swift Code:** WPACAU2S **BSB:** 032003 **Account Number:** 478233  
**Account Name:** The Institute of Internal Auditors – Australia

Please ensure your NAME is included in the description field and submit your form.

 **Credit Card**

Telephone **1800 236 366** or complete the details below and submit your form. Credit card payments attract a service fee of 1.23% for VISA and Mastercard or 1.05% for American Express and 3% for Diners Club.

AMEX  Diners  Mastercard  Visa

Credit card no. \_\_\_\_\_ Expiry date: \_\_\_\_\_

Signature: \_\_\_\_\_

Name of card holder: \_\_\_\_\_

**RETURNING FORM**

Please return form and payment to:  
Institute of Internal Auditors - Australia  
PO Box A2311, Sydney South NSW 1235 Australia  
E: membership@iia.org.au

**FOR OFFICE USE ONLY – APPROVAL**

Member No: \_\_\_\_\_

Class: \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Entered in database by: \_\_\_\_\_

Date: \_\_\_\_\_

Acceptance letter  Date: \_\_\_\_\_

Certificate letter  Date: \_\_\_\_\_